

PATENT Customer No. 22, 852 Attorney Docket No. 08888.0517

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:  | )                               |
|--|---------------------------------|
| Francis BLANCHE et al.   | )<br>)                          |
| Application No.: 09/970,663  | )<br>Group Art Unit: 1635       |
| Filed: October 5, 2001   | )<br>) Examiner: Brian Whiteman |
| For: COMPOSITION FOR THE PRESERVATION OF INFECTIOUS RECOMBINANT ADENOVIRUSES | RECEIVED  OCT O 6 2003          |
| Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450       | TECH CENTER 1600/2900           |
| Sir:   |                                 |

# **DECLARATION UNDER 37 C.F.R. § 1.131**

We, Francis Blanche and Shian-Jiun Shih, state that we are the named applicants of the above-identified application and that we are co-inventors of the subject matter described and claimed therein. Prior to November 16, 1998, we, the co-inventors, had completed in France the invention as described and claimed in the above-identified application as evidenced by the following:

1. Exhibit A: Laboratory Notebook Pages 51-55 and 176 (A1-A6) of Francis Blanche, showing, a composition comprising adenoviral particles and a glycerol buffer solution at pH 8.4, wherein the buffer solution does not contain added divalent metal cations or alkali metal cations. See pages 52-53 (A2-A3), formulation #2, for example, comprises Tris/HCl and 10% glycerol at pH 8.4 (hereinafter referred to as "formulation #2".) The addition of adjuvants, such as sucrose or Tween20 is shown, for example, at page 176, formulations C and D. Formulation #2 is shown to be

Application No. 09/970,663 Attorney Docket No. 03806.0517

useful for preserving adenoviruses. See page 55 (A5), stable viral titer after 15 days of storage in formulation #2. Some compositions were tested for stability after –20°C or 4°C storage, indicating that the –20°C frozen viral compositions were thawed to test viability. See page 176 (A6), last three lines from the bottom.

- 2. The present specification at page 17, first formulation in the Table, shows a formulation identical to formulation #2 of Exhibit A;
- 3. Example 3 of the present specification, at pages 18-19, shows that a formulation identical to formulation #2 of Exhibit A has a stable viral titer after 15 days of storage, similar to the 15-day storage stability of formulation #2 shown on page 55 (A5) of Exhibit A.

While the dates have been redacted, the undersigned testify that all experiments described herein were conducted before November 16, 1998.

We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

| Dated: 25 hegus, 2003 | By: Francis Blanche |
|-----------------------|---------------------|
| /                     | Francis Blanche     |
|                       |                     |
|                       |                     |
|                       |                     |
| Dated:, 2003          | By:                 |
|                       | Shian-Jiun Shih     |



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| Dated:, 2003         | By:             |
|----------------------|-----------------|
|                      | Francis Blanche |
|                      |                 |
|                      |                 |
| Dated: Aug 18_, 2003 | By: SSShih      |
| •                    | Shian-Jiun Shih |





**ESSAIS FORMULATIONS** STABILITE

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BUT : Observer la stabilité ou la précipitation éventuelle du virus Y28 dans différentes formulations.

### MATERIEL VIRAL ETUDIE:

Solution virale Y28 produite en Cell Cube à l'échelle 8 Mer par l'équipe JF Chaubard et purifiée par chromatographie échangeuse d'anions, conservée dans le Tris 20mM pH8, MgCl<sub>2</sub> 1mM, NaCl 500mM et glycerol 10%.

Le virus purifié titre 3,94.10<sup>11</sup> pv/ml.

### PREPARATION DES DIFFERENTS TAMPONS ETUDIES:

### 1. Solutions mères :

|   | SOLUTIONS MERES:             | PREPARATIONS:  |
|---|------------------------------|--|
| A | Tris / HCl pH 8,4 à 500mM    | 10,07g Tris base + 6,60g Tris/Hcl dans 250ml eau PPI<br>(Tris base ref: T8524 et Tris HCL ref:T7149) |
| В | Sucrose à 50g/100ml          | 250g de sucrose dans 500ml d'eau PPi   |
| С | NaCl 5M                      | Sigma - Aldrich ref. \$150   |
| D | MgCl <sub>2</sub> 1M         | Sigma - Aldrich ref M1028  |
| E | Glycerol                     | Sigma - Aldrich ref.G5516  |
| F | D-Mannitol                   | Sigma - Aldrich ref.M9647  |
| G | Tween 20                     | Sigma - Aldrich ref.P8074  |
| H | Tampon borate pH 7.4 100mM   | Acide borique 100mM + NaOH 0.1N  |
| j | Tampon phosphate pH 7.4 10mM | 130mg KH <sub>2</sub> PO <sub>4</sub> + 705mg K <sub>2</sub> HPO <sub>4</sub> dans 500ml eau PPI     |

A-2

SI

# 2. Formulations :

|           |              |               |             | 5             | SOLUTIONS                  | S MERES     | <u>:</u>      |             |             |                |
|-----------|--------------|---------------|-------------|---------------|----------------------------|-------------|---------------|-------------|-------------|----------------|
|           | A            | В             | <u>C</u>    | D             | E                          | F           | G             | Н           | 1           | Eau PP         |
| ESSAIS:   |              |               |             |               |                            |             |               |             | 1           |                |
|           |              |               | <del></del> |               | ·····                      |             |               |             | γ           | ***            |
| <u>1</u>  | 20 <b>ml</b> |               | <u>·</u>    | L             | <u>l</u> L                 |             |               |             | <u> </u>    | qsp 500n       |
| 2         | 20ml         |               | I           | T             | + 50mi                     |             | Γ             |             |             | qsp 500r       |
|           | ·            |               |             |               |                            |             | ,             |             |             |                |
| 3         | 20ml         | 50ml          |             | 0,5 <b>ml</b> | <u> </u>                   |             |               |             |             | qsp 500n       |
|           | r            | <del></del>   |             | ,             | 1 1                        | <del></del> | Ţ <u>.</u>    |             | 1           | 600-           |
| 4         | 20ml         | 50ml          | L           | l             | LL                         |             | <u> </u>      | l           | <u> </u>    | qsp 5001       |
| 5         | 20ml .       | 50ml          | l           | 0,5ml         |                            | 25g         |               |             | Τ           | qsp 500r       |
|           |              |               | Į           |               |                            |             | <del>,</del>  | τ           |             |                |
| 6         | 20ml         | 50 <b>m</b> l | 15ml        | 0,5ml         | السنا                      | 25g         | <u> </u>      | <u> </u>    | 1           | qsp 5001       |
|           |              |               |             | ·             | <del>,</del>               |             | 1 0 6 3       |             | 1           | I 600-         |
|           | 20ml         | 50ml          | <u> </u>    | 1             | •                          | ± ¥         | 0,5ml         |             | 1           | qsp 5001       |
| 8         | 20ml         | 50ml          |             | 0,5ml         |                            |             | 0,5ml         |             |             | qsp 5001       |
|           |              | •             |             |               | ,                          |             |               | ,           |             | <del>, -</del> |
| 9         | <u> </u>     | 50ml          | <u> </u>    | 0,5ml         | اـــــا                    |             | <u>l</u>      | 50ml        |             | qsp 5001       |
| 10        | 1            | T             | <u> </u>    | 1             | + 50ml                     |             |               | Ī           | 500ml       |                |
|           |              |               |             |               |                            |             |               |             |             |                |
| <u>11</u> |              | 2             | Solutionvin | ale obtenue   | au 2ème rin<br>ml dans DPI | cage lors   | de la diafilt | ration fina | <u>ile.</u> |                |

# 3 Résumé des formulations étudiées :

Voir tableau ci-après.



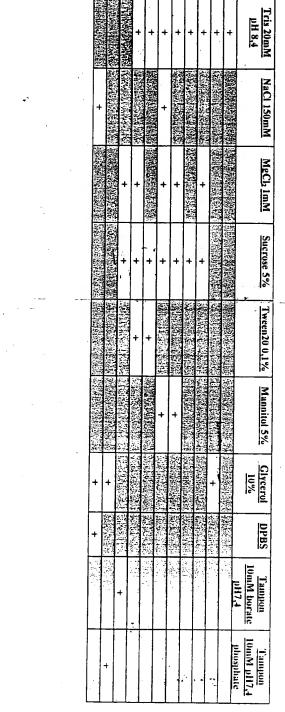
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**ESSAIS DE FORMULATIONS** 

Y28 CELL CUBE 8MER



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# MATERIELS UTILISES:

- $\rightarrow \! 10$  PD 10 pour la diafiltration équilibrées avec 5 x 5ml de tampon étudié.
- $\rightarrow$ Ultrafree 15 ml avec membrane Biomax 100 Kd (Millipore) (2x pour chaque essai).
- →Centrifugeuse réglée à 1500 tr/mn.

# MISE EN OEUVRE:

| OPERATIONS:                             | POUR CHAQUE ESSAI:  |
|---|---|
| ·                                       | 1.5.  |
| DIAFILTRATION:                          | 10 PD10 x 2,5ml de solution virale Y28 à 3,94.10 <sup>11</sup> pv/ml. Elution par 10PD10 x 3,5ml du tampon étudié.  |
|   | ·   |
| CONCENTRATION:                          | 2 Ultrafree 15ml 100Kd remplie à 15ml puis recharges avec 2,5ml de solution virale diafiltrée.  Soit 17,5ml concentrés à 500µl (x2).  |
|   | (soit une concentration à ≈ 1.10 <sup>13</sup> pv/ml.)  |
| TO A | Récupération et pool des 2 Ultrafree pour chaque essai.   |
| RECUPERATION ET FILTRATION 0,2μm :      | Filtration sur filtres Millex 0,2µ non stériles.  Stockage dans tubes en verre stériles.  |
|   |   |
| ALIQUOTAGE: (1=0)                       | → 100µl dans tube Ependorff congelé à -26°C par essai.  → 20µl + 980µl tampon clhp anal. pour dosage.  → env.900µl conservés à +4°C pour étude de stabilité.  → env.100µl de la volution virale Y28 sortie chromato initiale est congelé à -26°C. |
|   |   |
| TEMOINS PBS/glycerol 10%:               | directement concentré à 1.10 <sup>13</sup> pv/ml, récupéré et aliquoté comme les autres essais.   |

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# DOSAGES CLHP ANALYTIQUE:

| DPBS+NaCl+glycérol piecipi             | Tar                   | Taj                           | Borate+1                               | ·Tris+MgCl                                     | T   |                        | Tris+NaCl+Mg                                 | Tris+MgCl <sub>1</sub>  | -        | Tr  |                         | Tris+l  | ы   | 17  |  |  |
|--|-----------------------|-------------------------------|--|--|---|------------------------|--|---|----------|---|-------------------------|---|---|---|--|--|
| DPBS+NaCl+glycérol                     | Tumpon 11             | Tumpon 10 Phosphate +glycérol | Borate+MgCl <sub>2</sub> +sucrose      | Tris+MgCl <sub>2</sub> +sucrose+tween Tampon 9 | Tampon 8                                      | Титроп 7               | Tis+NaCl+MgCl <sub>2</sub> +sucrose+mannitol | Tris+MgCl <sub>3</sub> +sucrose+mannitol                                  | amnon 4  | Tris+sucrose  | Tampons 4               | Tris+MgCl <sub>2</sub> +sucrose                           | Tampon 3  | Tris+glyvérol   | Tris 20mM  | Tampon   |
| 3,57. 10                               | .537 1012             | 7,20. 1012                    | non detecte                            |  | 7.17. 1017                                    | 6,22. 10 <sup>12</sup> | 6,48. 10 <sup>12</sup>                       | 5,84, 10  | 604 1017 |   | 6,31. 1017              |   | 6.29. 1012  |   | 771 102  | 4 07 1017  |
| precipite a t<1 jour                   | mecipite le lendemain | opacification a j=2           | solution trouble des le                | précipité le lendemain                         | operitor à i-7                                | nonnale à j=15         | précipité à j=7                              | normale à j=15  |          |   | nonnale à i=15          | mais non précipité à j=15                                 | Touristant in the state of the |   | normale à j=15   | L'ECHANTILLON  |
| non filtré : non dosé<br>filtré 0,2μm: | filtré 0,2µm:         | non filtré : non dosé         | non filtré : non dosé<br>filtré 0,2μm: | filtré 0,2µm:                                  | filtre 0,2µm: 9,31.10 <sup>11</sup>           | non filits : 9 43 1011 | non filué : non dosé                         | non filtré : 1,85.10 <sup>17</sup><br>filtré 0,2μm: 1,47.10 <sup>12</sup> |          | filtré 0,2µm: 5,7.10 <sup>12</sup>  | non filtr4 : 5 92 1012  | filtré 0,2µm: 2,09,10 <sup>11</sup>                       |   | non filtré : 8,12,10"<br>filtré 0,2μm: 7,96,10"       | non filtré : 1,0.10 <sup>12</sup><br>filtré 0,2μm: 9,1.10 <sup>11</sup>    | TITRE DV/ml J=15   |
|  |                       |                               | 1                                      | İ  | nbre plateaux:9000<br>asymétries:0,95 et 0,83 |                        | aymetries:1,08 et 1,12                       | le retour pic adéno traîne<br>nbre plateaux:17000                         |          | pic symétrique  | asymétries:0,93 et 0,86 | montée du pic<br>asymétrique<br>1.44 note plateaux: 32000 | ,   | pic symétrique  | le retour pic adéno traîne<br>nbre plateaux:12000<br>aymétries:1,25 et 1,5 | OBSERVATIONS CLHP du dosage 1=15                             |
|  |                       |                               |  |  | non dosé<br>normale                           | .                      |  | non dosé<br>normale   | потпаве  | non filtré :1,87.10 <sup>17</sup> nbre plateaux:14000 asymétries:1 28 et 1 42 |                         | non dosé<br>trouble mais non↓                             | normale   | non filtré : 7,88. It <sup>17</sup><br>pic symétrique | non dosé<br>normale  | TITRE pV/mt J=20 (*) Observations CLHP Apparence echantillon |
|  |                       |                               |  | 1  |   | ļ                      |  |   | normale  | non filtré :1,09.10 <sup>12</sup><br>nbre plateaux:~ 4000                     |                         | .   | полцаве   | non filtré : 9,27,10 <sup>17</sup><br>pic symétrique  | !  | TITRE pV/mt J=22 (*) Observations CLHP Apparence échantillon |

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Essais Tp2 et Tp4 retitrés à J=22 pour test bioactivité par M. Janicot

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SUJET: ADENIOVIRUS

### MISE EN PLACE DES ESSAIS DE STABILITE ADENOVIRUS DANS DIFFERENTES FORMULATIONS

Echantillon de départ: 400ml fraction F3 (+10% glycérol) du DEMOBATCH 3 (CC16M-Ad5/CMV/P53/293) , dosée à  $3,6.10^{11}$  pv/ml soit  $1,44.10^{14}$  pv pour 400ml.

#### Tampons étudiés (filtrés 0,22 µm):

- -Tampon A:Tris 20mM-pH8,4+10% glycérol
- -Tampon B :Tris 20mM-pH8,4+5% sucrose
- -Tampon C :Tris 20mM-pH8,4+10% glycérol+5% sucrose
- -Tampon D: Tris 20mM-pH8,4+5% glycérol+10% sucrose
- -Tampon E: Tris 20mM-pH8,4+10% glycérol+1mM MgCl<sub>2</sub>
- -Tampon F: Tris 20mM-pH8,4+ 10% glycérol+150mM NaCl+1mM MgCl<sub>2</sub>
- -Tampon G: Tris 20mM-pH8,4+5% glycérol
- -Tampon H: Tris 20mM-pH8,4+10% sucrose
- -Tampon I : Acétate d'ammonium 20mM-pH8+10% glycérol
- -Tampon J : Acetate d'ammonium 20mM-pH8+5% sucrose

#### Mise en place des essais :dans labo L3 de recherches/B1 Monod

-1 erétape : concentration de l'échantillon en utilisant 16 Ûltrafree 15ml/30Kd membrane biomax (UFV2BTK40 Millipore) ,centrifugation à 1500tr/mn .Premier passage on amène le volume à 5ml , (il faut environ 30mn pour le passage de 5 ml) on recharge une deuxième fois les Ultrafree avec 10ml (on tourne à 1760tr/mn-500G) et on amène le volume total final à 105ml.

on conserve 5ml pour électrophorèse 2D et on effectue un dosage HPLC (d1/10)

- on trouve 1,21.10<sup>12</sup>pv/ml soit 1,27.10<sup>14</sup>pv pour 105ml.
- -2<sup>tene</sup> étape : changement de tampon sur PD10 Pharmacia (4 PD10 par tampon, soit 4 fois 2,5ml du concentrat ou 1,21.10<sup>12</sup>pv/tampon) , on récupère 14ml.
- -3<sup>ense</sup> étape : on concentre les éluats PD10 sur un Ultrafree 15ml/30Kd (même réf. que étape 1) on amène le volume à <1ml.

  on récupère le concentrat et on volume à 1ml avec le filtrat.
- -4<sup>eme</sup> étape ; on fait subir à chaque échantillon une filtration stérilisante sur an filtre Millipore (Sterile Millex-GV 0,22μm) membrane PVDF , récupération dans un tube stérile.
- -5 eme étape : sur chaque échantillon de 1 ml après filtration →dosage HPLC (d1/50) pour les échantillons TpA à E ,aliquoter 14 tubes de 50µl ,dans tubes stériles, pour les échantillons TpF à J ,il y a 15 aliquotes de 50µl ,les titres se situent entre 9,8.10<sup>12</sup> et 1,08.10<sup>13</sup> pv/ml (voir cahier DOS-01 page 42)
- -6 tape : les aliquotes de 50 µl sont mis œ jour en stabilité à -20 °C. les reliquats soit ~250 à 300 µl sont conservés à 4 °C.

Il est prévu un dosage pfu (labo D.Faucher) de chaque échantillon →1 tube de 50µl à -20°C

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# ENGLISH-LANGUAGE TRANSLATION OF EXHIBIT "A" (6 pages)

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TRIAL NO. \_\_\_\_\_

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CEL 02051

# FORMULATION TRIALS: STABILITY.

OBJECTIVE: Observe the stability, or possible precipitation, of the Y28 virus in different formulations.

# **VIRAL MATERIAL STUDIED:**

Y28 solution produced in a cell cube on an 8 mer scale by the J.F. Chaubard team, purified by ion exchange chromatography, and preserved in 20mM pH8 TRIS, 1mM MgCl<sub>2</sub>, 500mM NaCl, and 10% glycerol. The purified virus titrates 3.94.10<sup>11</sup> pv/ml.

# PREPARATION OF THE DIFFERENT BUFFER SOLUTIONS USED:

## 1. Stock solutions:

|   | STOCK SOLUTIONS:                      | PREPARATIONS:  |  |  |  |  |
|---|---------------------------------------|--|--|--|--|--|
|   |                                       |  |  |  |  |  |
| A | Tris / HCl pH 8.4 at 500mM            | 10.07g Tris base + 6.60g Tris/Hcl in 250ml water for injection (Tris base ref: T8524 and Tris HCL ref:T7149) |  |  |  |  |
| В | Sucrose at 50g/100ml                  | 250g sucrose in 500ml of water for injection.  |  |  |  |  |
| С | NaCl 5M                               | Sigma - Aldrich ref. S150  |  |  |  |  |
| D | MgCl <sub>2</sub> 1M                  | Sigma - Aldrich ref. M1028   |  |  |  |  |
| E | Glycerol                              | Sigma - Aldrich ref. G5516   |  |  |  |  |
| F | D-Mannitol                            | Sigma - Aldrich ref. M9647   |  |  |  |  |
| G | Tween 20                              | Sigma - Aldrich ref. P8074   |  |  |  |  |
| Н | 100mM borate buffer solution pH 7.4   | 100mM boric acid + NaOH 0 <sub>2</sub> 1N  |  |  |  |  |
| I | 10mM phosphate buffer solution pH 7.4 | 130mg KH <sub>2</sub> PO <sub>4</sub> + 705mg K <sub>2</sub> HPO <sub>4</sub> in 500ml water for injection.  |  |  |  |  |

| TRIAL | NO   |  |
|-------|------|--|
| INIAL | INO. |  |

# 2. Formulations:

|        |         |          |      | STO      | OCK SOLU    | TIONS:      |             |          |  |                     |  |
|--------|---------|----------|------|----------|-------------|-------------|-------------|----------|--|---------------------|--|
|        | Α       | В        | С    | D        | E           | F           | G           | Н        | 1  | Water for injection |  |
| TRIAL: | <u></u> |          |      |          |             |             |             |          |  | Injection           |  |
| 1      | 20ml    |          |      | T        | Г -         |             | <del></del> |          |  |                     |  |
|        |         | <u> </u> |      | <u> </u> |             |             | <u> </u>    |          | <u> </u>   | QS 500ml            |  |
| 2      | 20ml    |          |      |          | + 50ml      |             |             |          |  | QS 500ml            |  |
| 3      | 20ml    | 50ml     |      | 0,5ml    |             |             | T           |          | <del>                                     </del> | QS 500ml            |  |
| 4      | 20ml    | 50ml     |      |          |             |             |             | <u> </u> | ·  |                     |  |
|        |         |          |      | <u> </u> |             |             | <u> </u>    |          | ļ  | QS 500ml            |  |
| 5      | 20ml    | 50ml     |      | 0.5ml    |             | 25g         |             |          |  | QS 500ml            |  |
| 6      | 20ml    | 50ml     | 15ml | 0.5ml    |             | 25g         |             | 1        | T  | QS 500ml            |  |
| 7      | 001     | F0 1     |      |          |             |             |             |          |  | QC 500iiii          |  |
|        | 20ml    | 50ml     |      |          |             |             | 0.5ml       |          |  | QS 500ml            |  |
| 8      | 20ml    | 50ml     |      | 0.5ml    |             |             | 0.5ml       |          |  | QS 500ml            |  |
| 9      |         | 50ml     |      | 0.5ml    | <del></del> |             |             | 1.50-1   |  |                     |  |
|        |         |          |      | 0.0111   | L           | <del></del> | <u> </u>    | 50mi     |  | QS 500ml            |  |
| 10     |         |          |      |          | + 50ml      |             |             |          | 500ml  |                     |  |
| 11     |         |          |      |          |             |             |             |          |  |                     |  |

# 3. Summary of the formulations studied:

See the following tables.

TRIAL NO.

# **Y28 CELL CUBE 8MER**

# **FORMULATION TRIALS**

| 10mM pH7.4<br>phosphate buffer | Solution   |                   |  |   |   |       |     |   |       | +  |                |
|--------------------------------|--|-------------------|--|---|---|-------|-----|---|-------|--|----------------|
| 10 mM borate<br>pH7.4 buffer   | SOIGHOI  |                   |  |   |   |       |     |   | +     |  |                |
| DPBS                           |  |                   |  |   |   |       | 100 |   |       | 100  | +              |
| Glycerol<br>10%                |  | +                 | A COLUMN TO SERVICE AND A SERV | 0.75                                    |   |       |     |   |       | +  | +              |
| Mannitol<br>5%                 | A STATE OF THE STA | The second second | do.  | (1) (1) (1) (1) (1) (1) (1) (1) (1) (1) | + | +     |     |   |       |  |                |
| Tween20<br>0.1%                |  | 100 mm            |  |   | 4 | 10 mm | +   | + | E-151 |  |                |
| Sucrose<br>5%                  |  |                   | +  | +                                       | + | +     | +   | + | +     | 1. The state of th | and the second |
| MgCl <sub>2</sub>              | 1964   |                   | +  | AND DESTRUCTION                         | + | +     |     | + | +     | 1.0  | i.             |
| NaCl<br>150mM                  | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1  | 2.1               |  | 35                                      |   | +     |     |   |       | À  | +              |
| Z0mM<br>pH 8.4                 | +  | +                 | +  | +                                       | + | +     | +   | + |       |  |                |
| TRIAL                          | -  | 2                 | ဧ  | 4                                       | 5 | 9     | 2   | 8 | 6     | 10   | <b>T</b>       |

| TRIAL NO. |  |
|-----------|--|
|-----------|--|

# **MATERIALS USED:**

- ightarrow 10 PD 10 for diafiltration balanced with 5 x 5ml of the buffer solution studied.
- ightarrow 15ml Ultrafree with 100 Kd Biomax (Millipore) membrane (2x for each trial).
- $\rightarrow$  Centrifuge set at 1500 rev/min.

# **IMPLEMENTATION:**

| OPERATIONS:                    | 500 5100 550   |
|--------------------------------|--|
| SI EIMHONO.                    | FOR EACH TRIAL:  |
|                                |  |
| DIAFILTRATION:                 | 10 PD10 x 2.5ml of Y28 viral solution at 3.94.10 <sup>11</sup> pv/ml.  |
|                                | Elution by 10PD10 x 3.5ml of the buffer solution studied.              |
| CONCENTRATION                  |  |
| CONCENTRATION:                 | 15ml 100Kd 2 Ultrafree filled to 15ml and then refilled                |
|                                | with 2.5 diafiltrated viral solution.                                  |
|                                | 17.5ml concentrated at 500μl (x2).                                     |
|                                | (or a concentration at ≈ 1.10 <sup>13</sup> pv/ml.)                    |
| PECOVEDY AND EU TRATION OF     |  |
| RECOVERY AND FILTRATION 0.2μm: | Recovery and pooling of the 2 Ultrafree for each trial.                |
|                                | Filtration using unsterilized 0.2u Millex filters                      |
|                                | Storage in sterilized glass tubes.                                     |
| ALIQUOTING: (t=0)              |  |
| ALIQUOTING . (I=U)             | $\rightarrow$ 100µl in Ependorff tube frozen at -26°C. $\rightarrow$ * |
|                                | → 20μl + 980μl anal. HPCL buffer solution for dosing                   |
|                                | → About 900µl stored at +4°C to study stability.                       |
|                                | → About 100µl of the initial chromate emerging Y28 viral               |
|                                | is frozen at -26°C.  |
| 10% alvaeral/DBC Complete      |  |
| 10% glycerol/PBS Samples:      | Frozen directly at 1.10 <sup>13</sup> pv/ml, recovered and aliquoted   |
|                                | in the same way as the other trials.                                   |

# ANALYTICAL HPLC MEASUREMENTS:

TRIAL NO.

| The adeno return peak trails plate number: 12,000 asymmetries: 0.95 and 0.86  Tounded peak top plate number: 9,000 asymmetries: 0.95 and 0.83  Trounded peak top plate number: 9,000 asymmetries: 0.95 and 0.83  Trounded peak top plate number: 9,000 asymmetries: 0.95 and 0.83   | TRIALS/BUFFERS | TITER pV/ml J=0        | SAMPLE APPEARS   | TITER pV/mi   | OBSERVATIONS   | TITEB nV/m   |   |
|---|----------------|------------------------|--|---|--|--|---|
| ## 10.00   Figure 1.0.10°   The adeno return peak   Part  |                |                        |  | <u>dav=15</u>   | HPLC of the dosage, day=15   | day=20(*) HPLC Observations Sample appears                               | day=22(*)  HPLC Observations Sample appears                             |
| 6.29. 10 <sup>12</sup> precipitated at day=15 unfiltered; 8.12.10 <sup>12</sup> symmetrical peak normal symmetrical peak normal symmetrical but not 1 peak to 10 <sup>12</sup> peak normal at day=15 unfiltered; 2.33.10 <sup>11</sup> symmetrical peak plate number; 22.000 asymmetrical peak filtered 0.2µm: plate number; 22.000 asymmetrical peak filtered 0.2µm: plate number; 22.000 fasymmetrical peak filtered 0.2µm: plate number; 0.93 at 10.10 filtered 0.2µm: plate number; 0.93 at 10.10 filtered 0.2µm: plate number; 1.28 asymmetries: 0.93 at 10.10 filtered 0.2µm: plate number; 1.00 fasymmetries: 1.00 fasymmetries; 1.00 fasymmetries; 1.00 fasymmetries; 1.00 fasymmetries; 1.00 fasymmetries; 1.00 fasymmetries; 0.00 fa  |                | 4.97.10 <sup>12</sup>  | normal at day=15   | unfiltered: 1.0.10 <sup>12</sup><br>filtered 0.2µm:<br>9.1.10 <sup>11</sup>   | The adeno return peak trails plate number: 12,000 asymmetries: 1.25 and 1.50     | not tested<br>normal   |   |
| 6.29. 10 <sup>12</sup> opacification at day=12 <sup>2</sup> uritilered: 2.33 10 <sup>11</sup> asymmetrical rise of day=15 a filtered 0.2µm: plate number: 32.000 asymmetries: 0.93 at a symmetries: 0.93 at a conding but not 1 to 1 to 2.09.10 <sup>11</sup> asymmetries: 0.93 at a conding but not 1 to 2.09.10 <sup>11</sup> asymmetries: 0.93 at a conding but not 1 to 2.09.10 <sup>11</sup> asymmetries: 0.93 at a conding but not 1 to 2.09.10 <sup>11</sup> asymmetries: 0.93 at a conding but not 1 to 2.09.10 <sup>11</sup> asymmetries: 0.93 at a conding but not 1 to 2.09.10 <sup>11</sup> asymmetries: 0.93 at a conding but not 1 to 2.09.10 <sup>11</sup> asymmetries: 1.08 and 1.42 (normal) 1.47.10 <sup>12</sup> arymmetries: 0.00 asymmetries: 0.00 and a | _              | 7.71. 10 <sup>12</sup> | normal at day=15   | unfiltered: 8.12.10 <sup>12</sup> filtered 0.2µm: 7.96.10 <sup>12</sup>       | symmetrical peak   | unfiltered: 7.88.10 <sup>12</sup><br>normal symmetrical                  | unfiltered: 9.27.10 <sup>12</sup><br>normal symmetrical                 |
| 6.31. 10 <sup>12</sup> normal at day=15 ifflered: 5.83.10 <sup>12</sup> symmetrical peak unfiltered: 1.87.10 <sup>12</sup> filtered 0.2µm:  6.48. 10 <sup>12</sup> normal at day=15 ifflered 0.2µm:  6.48. 10 <sup>12</sup> precipitated at day=7 ifflered: 0.2µm:  6.22. 10 <sup>12</sup> normal at day=7 unfiltered: 9.53.10 <sup>11</sup> rounded peak top not tested day.  7.17. 10 <sup>12</sup> popacification at day=7 ifflered: 0.2µm:  6.22. 10 <sup>12</sup> normal at day=7 unfiltered: not tested day.  Copacification at day=2 ifflered: 0.2µm:  | Srose          | 6.29. 10 <sup>12</sup> | opacification at day=12³ but not precipitated at day=15                                | unfiltered: 2.33.10 <sup>11</sup><br>filtered 0.2µm:<br>2.09.10 <sup>11</sup> | asymmetrical rise of<br>the peak<br>plate number: 32,000<br>asymmetries: 0.93 at | not tested clouding but not 1  | heak<br>  |
| 5.84. 10 <sup>12</sup> normal at day=15 iffered 0.2µm: rails adeno return peak rot tested not tested iffered 0.2µm: part number: 17,000 and 1.12 and 1.12 rounded peak top filtered 0.2µm: part number: 9,000 normal at day=15 ritlered 0.2µm: part number: 9,000 normal at day=7 ritlered 0.2µm: part number: 9,000 normal at day=7 ritlered 0.2µm: asymmetries: 0.95 and 0.31.10¹¹ opacification at day=7 ritlered 0.2µm: filtered 0.2µm: day.  Undetected virus held on the filter solution is changed.  7.20. 10¹² opacification at day=2 unfiltered: not tested filtered 0.2µm: the buffer solution is changed.  7.20. 10¹² opacification at day=2 unfiltered: not tested filtered 0.2µm: the buffer solution is changed.  7.20. 10¹² opacification at day=2 unfiltered: not tested day.  8.37. 10¹² precipitated the next filtered 0.2µm: filtered 0.2µm: day.  9.31.10¹ opacification at day=2 unfiltered: not tested filtered 0.2µm: day.   | 0              | 6.31, 10 <sup>12</sup> | normal at day=15   | unfiltered: 5.83.10 <sup>12</sup><br>filtered 0.2µm:<br>5.7.10 <sup>12</sup>  | symmetrical peak   | unfiltered: 1.87.10 <sup>12</sup> plate number: 14,000 asymmetries: 1.28 | unfiltered: 1.09.10 <sup>12</sup> plate number: 4,000 asymmetries: 0.87 |
| 6.48. 10 <sup>12</sup> precipitated at day=7 ilitered 0.2 µm:  6.22. 10 <sup>12</sup> normal at day=15 ilitered 0.2 µm:  7.17. 10 <sup>12</sup> opacification at day=7 ilitered 0.2 µm:  Undetected virus held on the filter solution clouds once the buffer solution at day=2 changed.  7.20. 10 <sup>12</sup> opacification at day=2 precipitated the next day ilitered 0.2 µm:  Changed virus held on the filter do 0.2 µm:  Chang  | mannitol       | 5.84. 10 <sup>12</sup> | normal at day=15   | unfiltered: 1.85.10 <sup>12</sup><br>filtered 0.2µm:<br>1.47.10 <sup>12</sup> | The adeno return peak trails plate number: 17,000 asymmetries: 1.08 and 1.12     | not tested normal  | and 0.08 (normal)   |
| 6.22. $10^{12}$ normal at day=15 filtered 0.2µm: plate number: 9,000 g.31.10¹¹¹ asymmetries: 0.95 and 0.83  7.17. $10^{12}$ opacification at day=7 precipitated the next day.  Undetected virus held on the filter solution is changed.  7.20. $10^{12}$ opacification at day=2 filtered 0.2µm: filtered 0.2µm: day  7.20. $10^{12}$ opacification at day=2 filtered 0.2µm: day  7.20. $10^{12}$ opacification at day=2 filtered 0.2µm: filtered 0.2µm: day  6.37. $10^{12}$ precipitated at < 1 day filtered 0.2µm: filtered 0.2µm: day  | rcrose+        | 6.48. 10 <sup>12</sup> | precipitated at day=7  | unfiltered: not tested filtered 0.2µm:  | 1  | 1  | I   |
| 7.17. 10 <sup>12</sup> opacification at day=7 precipitated the next day.  Undetected virus held on the filter solution clouds once the buffer solution is changed.  7.20. 10 <sup>12</sup> opacification at day=2 precipitated the next day.  5.37. 10 <sup>12</sup> precipitated at < 1 day unfiltered: not tested filtered 0.2 µm: day.   | neen           | 6.22. 10 <sup>12</sup> | normal at day=15   | unfiltered: 9.53.10 <sup>11</sup><br>filtered 0.2µm:<br>9.31.10 <sup>11</sup> | rounded peak top<br>plate number: 9,000<br>asymmetries: 0.95 and<br>0.83         | not tested<br>normal   | 1   |
| Undetected virus held on the filter solution clouds once the buffer solution is changed.  7.20. 10 <sup>12</sup> opacification at day=2 precipitated the next day  5.37. 10 <sup>12</sup> precipitated at < 1 day   | +Tween         | 7.17. 10 <sup>12</sup> | opacification at day=7 precipitated the next day.                                      | unfiltered: not tested<br>filtered 0.2µm:                                     |  |  |   |
| 7.20. 10 <sup>12</sup> opacification at day=2 precipitated the next day precipitated at < 1 day   | rose           | Undetected             | virus held on the filter<br>solution clouds once<br>the buffer solution is<br>changed. | unfiltered: not tested<br>filtered 0.2µm:                                     | ı  | I  | I   |
| 5.37. 10 <sup>12</sup> precipitated at < 1 day  | erol           |                        | opacification at day=2<br>precipitated the next<br>day                                 | unfiltered: not tested<br>filtered 0.2µm:                                     |  | 1  | I   |
|   | erol           | 5.37. 10 <sup>12</sup> | precipitated at < 1 day  | unfiltered: not tested<br>filtered 0.2µm:                                     | 1  | 1  |   |

Note: for the adeno return peak measurement standard → plate number 32,000/asymmetries: 1.1 and 1.16. (\*) computation of titers with the new measurement standard: 141

A-5

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| 1111AL NO |  |  |
|-----------|--|--|
|           |  |  |
|           |  |  |
|           |  |  |

### SUBJECT: ADENOVIRUS

# CONDUCTING ADENOVIRUS STABILITY TRIALS IN DIFFERENT FORMULATIONS

Starting sample: 400ml fraction F3 (+10% glycerol) of DEMOBATCH 3 (CC16M-Ad5/CMV/P53/293) dosed at  $3.6.10^{11}$  pv/ml or  $1.44.10^{14}$ pv per 400ml.

TRIAL NO

# Buffer solutions studied (0.22µm filtered):

- -Buffer solution A: Tris 20mM-pH8.4+10% glycerol
- -Buffer solution B: Tris 20mM-pH8.4+5% sucrose
- -Buffer solution C: Tris 20mM-pH8.4+10% glycerol+5% sucrose
- -Buffer solution D: Tris 20mM-pH8.4+5% glycerol+10% sucrose
- -Buffer solution E: Tris 20mM-pH8.4+10% glycerol+1mM MgCl<sub>2</sub>
- -Buffer solution F: Tris 20mM-pH8.4+10% glycerol+150mM NaCl+1mM MgCl<sub>2</sub>
- -Buffer solution G: Tris 20mM-pH8.4+5% glycerol
- -Buffer solution H: Tris 20mM-pH8.4+10% sucrose
- -Buffer solution 1: ammonium acetate 20mM-pH8+10% glycerol
- -Buffer solution 1: ammonium acetate 20mM-pH8+5% sucrose

# Carrying Out the Trials: At Research Lab L3/Bt Monod

- 1<sup>st</sup> Step: Concentrating the sample by using 15ml/30Kd 16 Ultrafee biomax membrane (UFV2BTK40 Millipore), centrifuged at 1500rev/min. First run, volume brought to 5ml (5ml run requires @30 mins).The Ultrafree is filled a second time with 10ml (turning occurs at 1760 rv/min.-500G). The final total volume is brought to 105ml. 5ml is stored for 2D electrophoresis and HPLC (dl/10) measurement occurs. One then finds 1.21.10<sup>12</sup>pv/ml, or 1.27.10<sup>14</sup> pv per 105ml.
- $2^{nd}$  Step: Changing over the sample to PD10 Pharmacia (4 PD10 by buffer solution, i.e., 4 x 2.5ml of the concentrate or 1.21.10<sup>13</sup>pv/buffer solution), 14ml are recovered.
- 3<sup>rd</sup> Step: The PD10 eluates are concentrated on a 15ml/30Kd Ultrafree (same ref. as Step 1) and the volume is brought to <1ml. The concentrate is recovered and the volume is increased to 1ml with filtrate.
- 4<sup>th</sup> Step: Each sample undergoes a sterilizing filtration on a Millipore film (Sterile Millex-GV 0.22μm) membrane (PVDF). Collected in a sterile tube.
- 5<sup>th</sup> Step: On each 1ml sample after filtration →HPLC (d1/50). For samples TpA to E, aliquot 14 tubes of 50µl in sterile tubes. For samples TpF to J, there are 15 aliquots of 50µl. The titers are located between 9.8.10<sup>12</sup> and 1.08.10<sup>13</sup> pv/ml (see Manual DOS-01 page 42).
- $6^{th}$  Step: The 50µm aliquots are used while stable at -20 $^{\circ}$ C. The carry-over, i.e., 250 to 300µl, is stored at  $4^{\circ}$ C.
  - A PFU (D. Faucher Lab) measurement of each sample is provided →1 tube of 50µl at -20°C.